General information about company						
Scrip code	539798					
NSE Symbol						
MSEI Symbol						
ISIN	INE173U01015					
Name of the company	UMIYA TUBES LIMITED					
Whether company is SME	Yes					
Class of Security	Equity Shares					
Type of report	Pre-listing					
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	22-06-2018					
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	22-06-2018					
Shareholding pattern filed under	Regulation 31 (1) (a)					

	Declaration								
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public				
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No				
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No				
3	Whether the Listed Entity has issued any Warrants?	Yes	Yes	No	No				
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No				
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No				
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No						
7	Whether company has equity shares with differential voting rights?	No	No	No	No				

		Tak	ole I - Sur	nmary	Statement	holding	of specified sec	urities			
	Category	Nos. Of	No. of fully paid	No. Of Partly paid-up	No. Of shares	Total nos.	Shareholding as a % of total no. of	Number of Voting Rights held in each class of securities (IX)			
Category (I)	of shareholder	shareholders	up equity	equity	underlying Depository	held (VII)	shares (calculated as per SCRR,	No of Voti	ng (XIV	7) Rights	Total as a
	(II)	(III)	shares held (IV)	shares held (V)	Receipts (VI)	= (IV)+ (VI)	1957) (VIII) As a % of (A+B+C2)	Class eg:	Class eg:y	Total	% of (A+B+C)
(A)	Promoter & Promoter Group	15	6232217			6232217	62.28	6232217		6232217	62.28
(B)	Public	186	3774450			3774450	37.72	3774450		3774450	37.72
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	201	10006667			10006667	100	10006667		10006667	100

		Tabl	e I - Summ	ary Statemen	nt holding of speci	fied secu	rities				
Category	Category of	No. Of Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding convertible	Shareholding, as a % assuming full conversion of convertible securities ( as a	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in	
(I)	shareholder (II)	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	g securities and percentage of diluted share warrants (Xi) (XI)=		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)	
(A)	Promoter & Promoter Group		350000	350000	63.56	2140000	34.34			6232217	
(B)	Public				36.44					3774450	
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total		350000	350000	100	2140000	21.39			10006667	

	Table II - State	ment show	ing shar	eholdi	ng patterr	of the P	romoter an	d Promo	ter Gi	oup	
			No. of	No. Of Partly	No. Of	Total nos.	Shareholding as a % of total no. of	Number of class of sec		Rights held (IX)	in each
Sr.	Category & Name of the	Nos. Of shareholders	fully paid up equity	paid- up	shares underlying Depository	shares held (VII) =	shares (calculated as	No of Voting (XIV) Rights		7) Rights	Total as a %
	Shareholders (I)	(III)	shares held (IV)	equity shares held (V)	Receipts (VI)	(IV)+ (V)+ (VI)	per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X			of Total Voting rights
A	Table II - Statemen	t showing shar	eholding pa	ttern of t	he Promoter	and Promote	er Group		•		•
(1)	Indian										
(a)	Individuals/Hindu undivided Family	14	5676661			5676661	56.73	5676661		5676661	56.73
(d)	Any Other (specify)	1	555556			555556	5.55	555556		555556	5.55
Sub-Total (A)(1)		15	6232217			6232217	62.28	6232217		6232217	62.28
(2)	Foreign							<u> </u>		<u> </u>	
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		15	6232217			6232217	62.28	6232217		6232217	62.28
В	Table III - Stateme	nt showing sha	reholding p	attern of	the Public sh	areholder			•		,
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	125	799300			799300	7.99	799300		799300	7.99
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	37	1244050			1244050	12.43	1244050		1244050	12.43
(e)	Any Other (specify)	24	1731100			1731100	17.3	1731100		1731100	17.3
Sub-Total (B)(3)		186	3774450			3774450	37.72	3774450		3774450	37.72
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		186	3774450			3774450	37.72	3774450		3774450	37.72
С	Table IV - Stateme	nt showing sha	reholding p	attern of	the Non Pror	noter- Non l	Public sharehold	ler			
Total ( A+B+C2)		201	10006667			10006667	100	10006667		10006667	100
Total (A+B+C)		201	10006667			10006667	100	10006667		10006667	100

Т	able II - Sta	tement sho	wing sharehold	ling pattern of the Pro	omoter a	nd Pro	mot	er Gro	ир
0	No. Of Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of convertible securities (	Number of Locked in shares (XII)		Shar pled othe	ged or rwise ımbered	equity shares held in
Sr.	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
A	Table II - Stat	tement showing	g shareholding patt	tern of the Promoter and Pro	omoter Gro	up			
(1)	Indian								
(a)		350000	350000	58.19	2140000	37.7			5676661
(d)		0		5.36	0	0			555556
Sub-Total (A) (1)		350000	350000	63.56	2140000	34.34			6232217
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		350000	350000	63.56	2140000	34.34			6232217
В	Table III - Sta	ntement showir	ng shareholding pa	ttern of the Public sharehold	ler	,		•	
(1)	Institutions								
(3)	Non-institutio	ons							
(a(i))				7.72					799300
(a(ii))				12.01					1244050
(e)				16.71					1731100
Sub-Total (B) (3)				36.44					3774450
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				36.44					3774450
С	Table IV - Sta	atement showing	ng shareholding pa	ttern of the Non Promoter- 1	Non Public	shareho	lder		
Total ( A+B+C2)		350000	350000	100	2140000	21.39			10006667
Total (A+B+C)		350000	350000	100	2140000	21.39			10006667

		1	ndividuals/H	indu undivided Fa	mily		
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	BHARATKUMAR PARSOTAMDAS PATEL	BHIKHAJI KACHARAJI CHAVDA	VAGHELA BEENA PRAVINSHIH	PATEL SAURABHKUMAR R	SURENDRASINH PRAVINSINH VAGHELA	BEENA BHIKHAJI CHAVDA	RIKENKUMAI BHARATBHAI PATEL
PAN (II)	CDSPP5146D	AEQPC0105E	ADSPV5653R	BWYPP1901N	AEAPV7791K	AAGHB2397R	CPQPP6233R
No. of fully paid up equity shares held (IV)	1758148	896666	734666	466666	413333	266666	256296
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1758148	896666	734666	466666	413333	266666	256296
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.57	8.96	7.34	4.66	4.13	2.66	2.56
Number of Vo	ting Rights held in ea	ch class of secur	ities (IX)				
Class eg:X	1758148	896666	734666	466666	413333	266666	256296
Class eg:y							
Total	1758148	896666	734666	466666	413333	266666	256296
Total as a % of Total Voting rights	17.57	8.96	7.34	4.66	4.13	2.66	2.56
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	0	140000	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	140000	0	0	0	0	0
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.98	10.01	7.09	4.51	3.99	2.57	2.47
Number of Lo	cked in shares (XII)			•	•		
No. (a)	1090000	0	455334	289333	205333	0	0
As a % of total Shares held (b)	62	0	61.98	62	49.68	0	0

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1758148	896666	734666	466666	413333	266666	256296
Reason for not	providing PAN						
Reason for not providing PAN							
Shareholder type	Promoter	Promoter Group	Promoter	Promoter	Promoter	Promoter Group	Promoter Group

		Individ	uals/Hindu undi	vided Family			
Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	SARLABEN BHARATKUMAR PATEL	PATEL USHABEN RAMESHCHANDRA	PARASBEN SURENDRASINH VAGHELA	JANAKBA PRAVINSINH VAGHELA	NAITIK BHIKHUSINH CHAVDA MINOR	VIRAL SAURABH PATEL	PRAVINSINH NATHUSINH VAGHELA
PAN (II)	CPUPP4376R	CPQPP5805K	ATEPV4525E	AFFPV1071C	BBIPC3047F	CYYPP4357D	ABHPV4872D
No. of fully paid up equity shares held (IV)	235556	166666	133333	133333	82000	66666	66666
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	235556	166666	133333	133333	82000	66666	66666
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.35	1.67	1.33	1.33	0.82	0.67	0.67
Number of Vo	ting Rights held in ea	ch class of securities (IX	)			•	
Class eg:X	235556	166666	133333	133333	82000	66666	66666
Class eg:y							
Total	235556	166666	133333	133333	82000	66666	66666
Total as a % of Total Voting rights	2.35	1.67	1.33	1.33	0.82	0.67	0.67
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	30000	180000	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	30000	180000	0
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	2.27	1.61	1.29	1.29	1.08	2.38	0.64
Number of Lo	cked in shares (XII)						
No. (a)	0	100000	0	0	0	0	0
As a % of total Shares held (b)	0	60	0	0	0	0	0

Number of Sha	ares pledged or other	wise encumbered (XIII)					
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	235556	166666	133333	133333	82000	66666	66666
Reason for not	providing PAN						
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

	Individuals/Hindu undivided Family
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	5676661
No. Of Partly paid- up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5676661
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	56.73
Number of Voting	Rights held in each class of securities (IX)
Class eg:X	5676661
Class eg:y	
Total	5676661
Total as a % of Total Voting rights	56.73
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	350000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	350000
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	58.19
Number of Locked	in shares (XII)
No. (a)	2140000
As a % of total Shares held (b)	37.7
	pledged or otherwise encumbered (XIII)
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	5676661
Reason for not prov	viding PAN

Reason for not providing PAN	
Shareholder type	

	Any Other (specify)	
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SNB CORPORATION PRIVATE LIMITED	
PAN (II)	AASCS2688B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	555556	555556
No. Of Partly paid- up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	555556	555556
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.55	5.55
Number of Voting	Rights held in each class of securities (IX)	
Class eg: X	555556	555556
Class eg:y		
Total	555556	555556
Total as a % of Total Voting rights	5.55	5.55
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.36	5.36
Number of Locked	l in shares (XII)	
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares	pledged or otherwise encumbered (XIII)	1
No. (a)		
As a % of total Shares held (b)		
Number of equity	555556	555556

shares held in dematerialized form (XIV)					
Reason for not prov	Reason for not providing PAN				
Reason for not providing PAN					
Shareholder type	Promoter Group				

			Any Other (spe	ecify)			
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	Market Maker
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Catego
Name of the Shareholders (I)		ELITE ACCFIN SOLUTIONS PRIVATE LIMITED	PROLIFE BIOCHEMICAL INDUSTRIES	PROLIFE INDUSTRIES LIMITED	ARMOUR CAPITAL PRIVATE LIMITED		
PAN (II)		AACCE3201C	AAACP3817B	AAACR9172R	AAOCA5711D		
No. of the Shareholders (I)	8	1	1	1	1	4	1
No. of fully paid up equity shares held (IV)	720001	163333	136666	136666	113333	112771	735222
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	720001	163333	136666	136666	113333	112771	735222
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.2	1.63	1.37	1.37	1.13	1.13	7.35
Number of Voting	Rights held	in each class of securitie	es (IX)				•
Class eg: X	720001	163333	136666	136666	113333	112771	735222
Class eg:y							
Total	720001	163333	136666	136666	113333	112771	735222
Total as a % of Total Voting rights	7.2	1.63	1.37	1.37	1.13	1.13	7.35
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.95	1.58	1.32	1.32	1.09	1.09	7.1
Number of Locked	in shares (X	XII)		·			
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	720001	163333	136666	136666	113333	112771	735222
Reason for not pro-	Reason for not providing PAN						
Reason for not providing PAN							

	Any Other (spe	cify)			
Searial No.	8	9			
Category	Market Maker	HUF			
Category / More than 1 percentage	More than 1 percentage of shareholding	Category			
Name of the Shareholders (I)	BEELINE BROKING LIMITED		Click here to go back		
PAN (II)	AAGCB0134P		Total		
No. of the Shareholders (I)	1	11	24		
No. of fully paid up equity shares held (IV)	735222	163106	1731100		
No. Of Partly paid- up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	735222	163106	1731100		
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.35	1.63	17.31		
Number of Voting	Rights held in each class of securities (IX)				
Class eg: X	735222	163106	1731100		
Class eg:y					
Total	735222	163106	1731100		
Total as a % of Total Voting rights	7.35	1.63	17.31		
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	7.1	1.57	16.71		
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	735222	163106	1731100		

Reason for not pro	viding PAN	
Reason for not providing PAN		