General information about company							
Scrip code	539798						
NSE Symbol							
MSEI Symbol							
ISIN	INE173U01015						
Name of the company	UMIYA TUBES LIMITED						
Whether company is SME	Yes						
Class of Security	Equity Shares						
Type of report	Pre-listing						
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	21-06-2018						
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	22-06-2018						
Shareholding pattern filed under	Regulation 31 (1) (a)						

	Declaration								
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public				
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No				
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No				
3	Whether the Listed Entity has issued any Warrants?	Yes	Yes	No	No				
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No				
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No				
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No						
7	Whether company has equity shares with differential voting rights?	No	No	No	No				

		Tal	ole I - Su	mmary	Statement	holding o	of specified secur	rities			
	Category	ategory Nos Of fully	No. of fully paid	No. Of Partly	shares	Total nos.	Shareholding as a % of total no. of	Number of selass of se		eld in each	
Category (I)	of shareholder	shareholders		paid-up equity	underlying Depository	held (VII) = (IV)+	shares (calculated as per SCRR, 1957)	No of Vo	ting (XI	V) Rights	Total as a
	(II)	(III)	held (IV)	shares held (V)	Receipts (VI)	(V)+ (VI)		Class eg: X	Class eg:y	Total	% of (A+B+C)
(A)	Promoter & Promoter Group	15	4674167			4674167	62.28	4674167		4674167	62.28
(B)	Public	185	2830833			2830833	37.72	2830833		2830833	37.72
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	200	7505000			7505000	100	7505000		7505000	100

		Tabl	e I - Summ	ary Statemen	nt holding of speci	fied secu	ırities			
Category	Category of	No. Of Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying conversion of Outstanding convertible securities (as a securities and No. Of diluted share Warrants (Xi) sassuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	% assuming full conversion of convertible	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in
(I)	shareholder (II)	Outstanding convertible securities (X)	Outstanding Warrants (Xi)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)	
(A)	Promoter & Promoter Group		350000	350000	63.96	1605000	34.34			4674167
(B)	Public				36.04					2830833
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total		350000	350000	100	1605000	21.39			7505000

ŗ	Гable II - Stater	nent showi	ng share	holdin	g pattern	of the P	romoter and	Promot	er Gr	oup	
			No. of	No. Of	No. Of	Total nos.	Shareholding as a % of total no. of shares (calculated as per SCRR,	Number of Voting Rights held in each class of securities (IX)			
Sr.	Category & Name of the	Nos. Of shareholders	fully paid up equity	Partly paid- up	shares underlying Depository	shares held (VII) =		No of Voting (XIV) Rights			Total as a %
	Shareholders (I)	(III)	shares held (IV)	equity shares held (V)	Receipts (VI)	(IV)+ (V)+ (VI)	1957) (VIII) As a % of (A+B+C2)	Class eg:	Class eg:y	Total	of Total Voting rights
A	Table II - Statement	showing share	holding pa	ttern of tl	he Promoter a	ind Promot	er Group				
(1)	Indian										
(a)	Individuals/Hindu undivided Family	14	4257500			4257500	56.73	4257500		4257500	56.73
(d)	Any Other (specify)	1	416667			416667	5.55	416667		416667	5.55
Sub-Total (A) (1)		15	4674167			4674167	62.28	4674167		4674167	62.28
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		15	4674167			4674167	62.28	4674167		4674167	62.28
В	Table III - Statemen	t showing shar	eholding pa	attern of	the Public sha	reholder					
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	141	936950			936950	12.48	936950		936950	12.48
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	20	595550			595550	7.94	595550		595550	7.94
(e)	Any Other (specify)	24	1298333			1298333	17.3	1298333		1298333	17.3
Sub-Total (B) (3)		185	2830833			2830833	37.72	2830833		2830833	37.72
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)		185	2830833			2830833	37.72	2830833		2830833	37.72
С	Table IV - Statemen	nt showing shar	eholding pa	attern of	the Non Pron	noter- Non	Public sharehold	er			
Total (A+B+C2)		200	7505000			7505000	100	7505000		7505000	100
Total (A+B+C)		200	7505000			7505000	100	7505000		7505000	100

Т	able II - Sta	tement sho	wing shareholo	ling pattern of the Pro	omoter a	nd Pro	mot	er Gro	ир
Sr.	No. Of Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of convertible securities (Number of Locked in (XII)		Shar pled othe	ged or rwise ımbered	equity shares held in
Sr.	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
A	Table II - Stat	tement showing	g shareholding pat	tern of the Promoter and Pro	moter Gro	oup			
(1)	Indian								
(a)		350000	350000	58.66	1605000	37.7			4257500
(d)		0	0	5.3	0	0			416667
Sub-Total (A) (1)		350000	350000	63.96	1605000	34.34			4674167
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		350000	350000	63.96	1605000	34.34			4674167
В	Table III - Sta	ntement showir	ng shareholding pa	ttern of the Public sharehold	ler	,		•	
(1)	Institutions								
(3)	Non-institutio	ons							
(a(i))				11.93					936950
(a(ii))				7.58					595550
(e)				16.53					1298333
Sub-Total (B) (3)				36.04					2830833
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				36.04					2830833
С	Table IV - Sta	atement showing	ng shareholding pa	ttern of the Non Promoter- 1	Non Public	shareho	lder		
Total (A+B+C2)		350000	350000	100	1605000	21.39			7505000
Total (A+B+C)		350000	350000	100	1605000	21.39			7505000

		1	ndividuals/H	indu undivided Fa	mily		
Searial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	BHARATKUMAR PARSOTAMDAS PATEL	BHIKHAJI KACHARAJI CHAVDA	VAGHELA BEENA PRAVINSHIH	PATEL SAURABHKUMAR R	SURENDRASINH PRAVINSINH VAGHELA	BEENA BHIKHAJI CHAVDA	RIKENKUMAI BHARATBHAI PATEL
PAN (II)	CDSPP5146D	AEQPC0105E	ADSPV5653R	BWYPP1901N	AEAPV7791K	AAGHB2397R	CPQPP6233R
No. of fully paid up equity shares held (IV)	1318611	672500	551000	350000	310000	200000	192222
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1318611	672500	551000	350000	310000	200000	192222
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	17.57	8.96	7.34	4.66	4.13	2.66	2.56
Number of Vo	ting Rights held in ea	ch class of secur	ities (IX)				
Class eg:X	1318611	672500	551000	350000	310000	200000	192222
Class eg:y							
Total	1318611	672500	551000	350000	310000	200000	192222
Total as a % of Total Voting rights	17.57	8.96	7.34	4.66	4.13	2.66	2.56
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	0	140000	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	140000	0	0	0	0	0
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	16.79	10.34	7.01	4.46	3.95	2.55	2.45
Number of Lo	cked in shares (XII)	1	1		1	1	
No. (a)	817500	0	341500	217000	154000	0	0
As a % of total Shares held (b)	62	0	61.98	62	49.68	0	0

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1318611	672500	551000	350000	310000	200000	192222
Reason for not	providing PAN						
Reason for not providing PAN							
Shareholder type	Promoter	Promoter Group	Promoter	Promoter	Promoter	Promoter Group	Promoter Group

		Individ	uals/Hindu u	ndivided Family			
Searial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	SARLABEN BHARATKUMAR PATEL	PATEL USHABEN RAMESHCHANDRA	JANAKBA PRAVINSINH VAGHELA	PARASBEN SURENDRASINH VAGHELA	NAITIK BHIKHUSINH CHAVDA MINOR	PRAVINSINH NATHUSINH VAGHELA	VIRAL SAURABH PATEL
PAN (II)	CPUPP4376R	CPQPP5805K	AFFPV1071C	ATEPV4525E	BBIPC3047F	ABHPV4872D	CYYPP4357D
No. of fully paid up equity shares held (IV)	176667	125000	100000	100000	61500	50000	50000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	176667	125000	100000	100000	61500	50000	50000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.35	1.67	1.33	1.33	0.82	0.67	0.67
Number of Vot	ting Rights held in ea	ch class of securities (IX)	1		·	·
Class eg:X	176667	125000	100000	100000	61500	50000	50000
Class eg:y							
Total	176667	125000	100000	100000	61500	50000	50000
Total as a % of Total Voting rights	2.35	1.67	1.33	1.33	0.82	0.67	0.67
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	30000	0	180000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	30000	0	180000
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	2.25	1.59	1.27	1.27	1.16	0.64	2.93
Number of Lo	cked in shares (XII)						
No. (a)	0	75000	0	0	0	0	0
As a % of total Shares held (b)	0	60	0	0	0	0	0

Number of Sha	ares pledged or other	wise encumbered (XIII)					
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	176667	125000	100000	100000	61500	50000	50000
Reason for not	providing PAN						
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

	Individuals/Hindu undivided Family						
Searial No.							
Name of the Shareholders (I)	Click here to go back						
PAN (II)	Total						
No. of fully paid up equity shares held (IV)	4257500						
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4257500						
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	56.73						
Number of Voting l	Rights held in each class of securities (IX)						
Class eg:X	4257500						
Class eg:y							
Total	4257500						
Total as a % of Total Voting rights	56.73						
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	350000						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	350000						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	58.66						
Number of Locked	in shares (XII)						
No. (a)	1605000						
As a % of total Shares held (b)	37.7						
	Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	4257500						
Reason for not prov	viding PAN						

Reason for not providing PAN	
Shareholder type	

	Any Other (specify)	
Searial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SNB CORPORATION PRIVATE LIMITED	
PAN (II)	AASCS2688B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	416667	416667
No. Of Partly paid- up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	416667	416667
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.55	5.55
Number of Voting	Rights held in each class of securities (IX)	
Class eg: X	416667	416667
Class eg:y		
Total	416667	416667
Total as a % of Total Voting rights	5.55	5.55
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.3	5.3
Number of Locked	l in shares (XII)	
No. (a)	0	0
As a % of total Shares held (b)	0	0
	pledged or otherwise encumbered (XIII)	•
No. (a)		
As a % of total Shares held (b)		
Number of equity	416667	416667

shares held in dematerialized form (XIV)					
Reason for not prov	Reason for not providing PAN				
Reason for not providing PAN					
Shareholder type	Promoter Group				

			Any Other (sp	ecify)			
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	Market Maker
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Categor
Name of the Shareholders (I)		ELITE ACCFIN SOLUTIONS PRIVATE LIMITED	PROLIFE INDUSTRIES LIMITED	PROLIFE BIOCHEMICAL INDUSTRIES	ARMOUR CAPITAL PRIVATE LIMITED		
PAN (II)		AACCE3201C	AAACR9172R	AAACP3817B	AAOCA5711D		
No. of the Shareholders (I)	8	1	1	1	1	4	1
No. of fully paid up equity shares held (IV)	540003	122500	102500	102500	85000	84580	551417
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	540003	122500	102500	102500	85000	84580	551417
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.2	1.63	1.37	1.37	1.13	1.13	7.35
Number of Voting	Rights held	in each class of securitie	es (IX)				•
Class eg: X	540003	122500	102500	102500	85000	84580	551417
Class eg:y							
Total	540003	122500	102500	102500	85000	84580	551417
Total as a % of Total Voting rights	7.2	1.63	1.37	1.37	1.13	1.13	7.35
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.87	1.56	1.3	1.3	1.08	1.08	7.02
Number of Locked	in shares (X	(II)					
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	540003	122500	102500	102500	85000	84580	551417
Reason for not pro-	Reason for not providing PAN						
Reason for not providing PAN							

	Any Other (s	specify)	
Searial No.	8	9	
Category	Market Maker	HUF	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)	BEELINE BROKING LIMITED		Click here to go back
PAN (II)	AAGCB0134P		Total
No. of the Shareholders (I)	1	11	24
No. of fully paid up equity shares held (IV)	551417	122333	1298333
No. Of Partly paid- up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	551417	122333	1298333
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.35	1.63	17.31
Number of Voting	Rights held in each class of securities (IX)	•	
Class eg: X	551417	122333	1298333
Class eg:y			
Total	551417	122333	1298333
Total as a % of Total Voting rights	7.35	1.63	17.31
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	7.02	1.56	16.53
Number of Locked	in shares (XII)		
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	551417	122333	1298333

Reason for not providing PAN				
Reason for not providing PAN				