

<b>General information about company</b>	
Scrip code	539798
Name of the company	UMIYA TUBES LIMITED
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Pre-listing
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	01-07-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	01-07-2017
Shareholding pattern filed under	Regulation 31 (1) (a)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	Yes
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	15	4674167			4674167	62.28	4674167		4674167	62.28
(B)	Public	196	2830833			2830833	37.72	2830833		2830833	37.72
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	211	7505000			7505000	100	7505000		7505000	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group		350000	350000	63.96	3554167	76.04			4569167
(B)	Public		0	0	36.04	0	0			2830833
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total		350000	350000	100	3554167	47.36			7400000

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	14	4257500			4257500	56.73	4257500		4257500	56.73
(d)	Any Other (specify)	1	416667			416667	5.55	416667		416667	5.55
Sub-Total (A) (1)		15	4674167			4674167	62.28	4674167		4674167	62.28
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		15	4674167			4674167	62.28	4674167		4674167	62.28
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	136	1165463			1165463	15.53	1165463		1165463	15.53
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	27	819270			819270	10.92	819270		819270	10.92
(e)	Any Other (specify)	33	846100			846100	11.27	846100		846100	11.27
Sub-Total (B) (3)		196	2830833			2830833	37.72	2830833		2830833	37.72
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		196	2830833			2830833	37.72	2830833		2830833	37.72
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		211	7505000			7505000	100	7505000		7505000	100
Total (A+B+C)		211	7505000			7505000	100	7505000		7505000	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)		350000	350000	58.66	3137500	73.69			4152500
(d)		0	0	5.3	416667	100			416667
Sub-Total (A) (1)		350000	350000	63.96	3554167	76.04			4569167
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		350000	350000	63.96	3554167	76.04			4569167
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))		0	0	14.84	0	0			1165463
(a(ii))		0	0	10.43	0	0			819270
(e)		0	0	10.77	0	0			846100
Sub-Total (B) (3)		0	0	36.04	0	0			2830833
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		0	0	36.04	0	0			2830833
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)		350000	350000	100	3554167	47.36			7400000
Total (A+B+C)		350000	350000	100	3554167	47.36			7400000

**Individuals/Hindu undivided Family**

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	SARLABEN BHARATKUMAR PATEL	BHARATKUMAR PARSOTAMDAS PATEL	RIKENKUMAR BHARATBHAI PATEL	PARASBEN SURENDRASINH VAGHELA	JANAKBA PRAVINSINH VAGHELA	PRAVINSINH NATHUSINH VAGHELA	SURENDRASINH PRAVINSINH VAGHELA
PAN (II)	CPUPP4376R	CDSPP5146D	CPQPP6233R	ATEPV4525E	AFFPV1071C	ABHPV4872D	AEAPV7791K
No. of fully paid up equity shares held (IV)	176667	1318611	192222	100000	100000	50000	310000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	176667	1318611	192222	100000	100000	50000	310000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.35	17.57	2.56	1.33	1.33	0.67	4.13
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	176667	1318611	192222	100000	100000	50000	310000
Class eg:y							
Total	176667	1318611	192222	100000	100000	50000	310000
Total as a % of Total Voting rights	2.35	17.57	2.56	1.33	1.33	0.67	4.13
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	2.25	16.79	2.45	1.27	1.27	0.64	3.95

Number of Locked in shares (XII)							
No. (a)	0	817500	0	0	0	0	310000
As a % of total Shares held (b)	0	62	0	0	0	0	100
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	176667	1318611	192222	100000	100000	50000	280000
Reason for not providing PAN							
Reason for not providing PAN							



**Individuals/Hindu undivided Family**

Serial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	PATEL SAURABHKUMAR R	PATEL USHABEN RAMESHCHANDRA	BEENA BHIKHAJI CHAVDA	NAITIK BHIKHUSINH CHAVDA MINOR	VIRAL SAURABH PATEL	VAGHELA BEENA PRAVINSHIH	BHIKHAJI KACHARAJI CHAVDA
PAN (II)	BWYPP1901N	CPQPP5805K	AAGHB2397R	BBIPC3047F	CYYPP4357D	ADSPV5653R	AEQPC0105E
No. of fully paid up equity shares held (IV)	350000	125000	200000	61500	50000	551000	672500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	350000	125000	200000	61500	50000	551000	672500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.66	1.67	2.66	0.82	0.67	7.34	8.96
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	350000	125000	200000	61500	50000	551000	672500
Class eg:y							
Total	350000	125000	200000	61500	50000	551000	672500
Total as a % of Total Voting rights	4.66	1.67	2.66	0.82	0.67	7.34	8.96
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	30000	180000	0	140000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	30000	180000	0	140000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a)	4.46	1.59	2.55	1.16	2.93	7.01	10.34

As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)	350000	125000	200000	61500	50000	551000	672500
As a % of total Shares held (b)	100	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	350000	50000	200000	61500	50000	551000	672500
Reason for not providing PAN							
Reason for not providing PAN							

**Individuals/Hindu undivided Family**

Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	4257500
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4257500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	56.73
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	4257500
Class eg:y	
Total	4257500
Total as a % of Total Voting rights	56.73
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	350000
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	350000
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	58.66
Number of Locked in shares (XII)	
No. (a)	3137500
As a % of total Shares held (b)	73.69
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	

As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	4152500
Reason for not providing PAN	
Reason for not providing PAN	

**Any Other (specify)**

Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	SNB CORPORATION PRIVATE LIMITED	
PAN (II)	AASCS2688B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	416667	416667
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	416667	416667
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.55	5.55
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	416667	416667
Class eg:y		
Total	416667	416667
Total as a % of Total Voting rights	5.55	5.55
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.3	5.3
Number of Locked in shares (XII)		
No. (a)	416667	416667
As a % of total	100	100

Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	416667	416667
Reason for not providing PAN		

<b>Any Other (specify)</b>					
Serial No.	1	2	3	4	
Category	HUF	Clearing Members	Clearing Members	Bodies Corporate	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)			BEELINE BROKING LIMITED		<a href="#">Click here to go back</a>
PAN (II)			AAGCB0134P		Total
No. of the Shareholders (I)	11	11	1	11	33
No. of fully paid up equity shares held (IV)	123750	543830	493720	178520	846100
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	123750	543830	493720	178520	846100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.65	7.25	6.58	2.38	11.28
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	123750	543830	493720	178520	846100
Class eg:y					
Total	123750	543830	493720	178520	846100
Total as a % of Total Voting rights	1.65	7.25	6.58	2.38	11.28
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.58	6.92	6.29	2.27	10.77
<b>Number of Locked in shares (XII)</b>					
No. (a)	0	0	0	0	0

As a % of total Shares held (b)	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	123750	543830	493720	178520	846100
Reason for not providing PAN					
Reason for not providing PAN					



